



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on August 1, 2001.

Melody A. Jones 44,177  
Name of Attorney or Agent Registration No.  
Signature of Attorney or Agent

#4

P&G Case 8003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :  
DAVID ALLEN SCHUL, ET AL. :  
Serial No.: 09/812,532 : Group Art Unit: 1614  
Confirmation No.: 2563 : Examiner: Not Yet Assigned  
Filed: March 20, 2001 :  
For: STEROL ESTER COMPOSITIONS :

COMPLETION OF FILING REQUIREMENTS

Box Missing Parts

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

[X] This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed May 30, 2001. A copy of the Notice to File Missing Parts of Application-Filing Date Granted (Form PTO-1533) is enclosed.

[X] No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

[] The declaration or oath that was filed was determined to be defective. A new original oath or declaration is attached.

[] This replies to the Notice to File Corrected Application Papers mailed \_\_\_. A copy of the Notice to File Corrected Application Papers is enclosed. To complete the informalities noted on the Notice to File Corrected Application Papers, applicant submits herewith:

[] New drawings complying with the size requirements (in compliance with 37 CFR §1.84);

[] Drawings of sufficient quality to readily use the patent application publication as a prior art document;

[] Specification of sufficient quality for optical character recognition (OCR) conversion of image to text;

[] Title and/or Abstract in compliance with 37 C.F.R. §1.72;

[] Sequence listings in compliance with 37 C.F.R. §1.821 *et seq.*

[] Other (please specify). \_\_.

[X] The Commissioner is hereby authorized to charge payment of the surcharge set forth in 37 CFR §1.16(e) to Deposit Account No. 16-2480. The Commissioner is also hereby

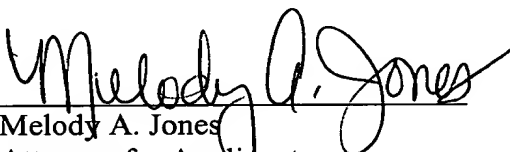
authorized to charge payment of any patent application processing fees under 37 C.F.R. §§1.16 and 1.17 associated with this communication or credit any over-payment to Deposit Account No. 16-2480. A duplicate copy of this sheet is attached.

[X] The Commissioner is also hereby petitioned under 37 C.F.R. 1.136(a) to grant any extension of time needed for timely response to the Notice to File Missing Parts to preserve the pendency of the above-identified application. The processing fee under 37 C.F.R. §1.136(a) is as follows:

- [X] \$110.00 for one-month extension of time;
- [] \$390.00 for two-month extension of time;
- [] \$890.00 for three-month extension of time.

The Commissioner is hereby authorized to make any additional copies of this sheet needed to accomplish the purposes provided for herein and to charge any fee for such copies to Deposit Account No. 16-2480.

Respectfully submitted,

By   
Melody A. Jones  
Attorney for Applicants  
Registration No. 44,175  
(513) 634-6944

August 1, 2001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.



## Complete if Known

Application Number	09/812,532
Confirmation Number	2563
Filing Date	March 20, 2001
First Named Inventor	David Allen Schul
Examiner Name	Unknown
Group/Art Unit	1614
Attorney Docket No.	8003

TOTAL AMOUNT OF PAYMENT (\$ 240.00)

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 16-2480

Deposit Account Name The Procter & Gamble Company

- ☒ Charge Any Additional Fee Required Under status. See 37 CFR §127 37 C.F.R. §§1.16 and 1.17

## FEE CALCULATION

### 1. BASIC FILING FEE - Large Entity

Code (\$)	Fee Description	Fee Paid
101 710	Utility filing fee	0
106 320	Design filing fee	0
107 490	Plant filing fee	0
108 710	Reissue filing fee	0
114 150	Provisional filing fee	0

SUBTOTAL (1) (\$ 0)

### 2. EXTRA CLAIM FEES - Large Entity

	Extra	Below	Fee
	Claims	Fee	Paid
Total Claims	0 - 20** = 0 x 0 = 0		
Independent Claims	0 - 3** = 0 x 0 = 0		
Multiple Dependent	0 = 0		

\*\* or number previously paid, if greater; For Reissues, see below

Code (\$)	Fee Description
103 18	Claims in excess of 20
102 80	Independent claims in excess of 3
104 270	Multiple dependent claim, if not paid
109 80	**Reissue independent claims over original patent
110 18	**Reissue claims in excess of 20 & over original patent

SUBTOTAL (2) (\$ 0)

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Code (\$)	Fee Description	Fee Paid
105 130	Surcharge-late filing fee or oath	<input checked="" type="checkbox"/>
127 50	Surcharge-late provisional filing fee or cover sheet	0
139 130	Non-English specification	0
147 2,520	For filing a request for <i>ex parte</i> reexamination	0
112 920*	Requesting publication of SIR prior to Examiner's action	0
113 1,840*	Requesting publication of SIR after Examiner's action	0
115 110	Extension for reply within 1 <sup>st</sup> month	<input checked="" type="checkbox"/>
116 390	Extension for reply within 2 <sup>nd</sup> month	0
117 890	Extension for reply within 3 <sup>rd</sup> month	0
118 1,390	Extension for reply within 4 <sup>th</sup> month	0
128 1,890	Extension for reply within 5 <sup>th</sup> month	0
119 310	Notice of Appeal	0
120 310	Filing a brief in support of an appeal	0
121 270	Request for oral hearing	0
138 1,510	Petition to institute a public use proceeding	0
140 110	Petition to revive - unavoidable	0
141 1,240	Petition to revive - unintentional	0
142 1,240	Utility issue fee (or reissue)	0
143 440	Design issue fee	0
144 600	Plant issue fee	0
122 130	Petitions to the Commissioner	0
123 50	Petitions related to provisional applications	0
126 180	Submission of Information Disclosure Statement	0
146 710	Filing a submission after final rejection (37 CFR § 1.129(a))	0
149 710	For each additional invention to be examined (37 CFR §1.129(b))	0
179 710	Request for Continued Examination (RCE)	0
169 710	Request for expedited examination of a design application	0
	Other fee (specify) _____	0
	Other fee (specify) _____	0

\* Reduced by Basic Filing Fee Paid SUBTOTAL(3) (\$ [240])

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	Melody A. Jones	Registration No. (Attorney/Agent)	44,175	Telephone	(513) 634-6944
Signature	<i>Melody A. Jones</i>			Date	August 1, 2001

WARNING: Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  
Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20231.



Sector \$

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/812,532	03/20/2001	David Allen Schul	8003

CONFIRMATION NO. 2563

## FORMALITIES LETTER



\*OC000000006128594\*

Melody A. Jones  
The Procter & Gamble Co.  
6071 Center Hill Avenue  
Cincinnati, OH 45224

Date Mailed: 05/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

---

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/08/2001 SMINASS1 00000054 162480 09812532

01 FC:105 130.00 CH